



MONARCH PRECIOUS METALS

Superior Handcrafted Bullion. Direct from Our Mint to You.

Monarch Precious Metals New Dealer Account Overview

Thank you for your interest in setting up a dealer/wholesale account. We strive to supply all our registered dealers across the country with unique bullion products. Attached are a few documents we are required to ask for when dealing with precious metals. Once these forms are received, we will get your account processed and set up, generally within 1-3 business days.

One of the key components is the AML (Anti-Money Laundering) program is the documentation and assessment of key information about our commercial customers. To that end, we ask that you please complete and return to us the following information/documentation:

- Monarch Precious Metals New Dealer Information Form (enclosed)
- Anti-Money Laundering Program Status Form (enclosed at end)
- IRS Tax Form W-9
- Copy of state business license (If applicable)
- Copy of state re-seller's license/permit (If applicable)
- Copy of photo ID (driver's license, passport, etc) for primary business owner.

Please fill out the attached forms to the best of your ability. To make sure that the wrong information is not received, please print or write the information as clearly as possible. If you have any questions when filling this out, feel free to contact us.



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Monarch Precious Metals LLC
New Dealer Information Form

Legal name of your company/firm: _____

Doing business as; trading name; etc.: _____

You are a(n) (check one):

- ☐ Publicly Traded Company (indicate exchange _____)
- ☐ U.S. Regulated Financial Institution (indicate regulator _____)
- ☐ State Regulated Bank (indicate regulator _____)
- ☐ U.S. Federal or State governments or their Agencies
- ☐ Privately Held Company, Partnership, LLC
- ☐ Individual

Principal Address (check one):

- ☐ Head Office/Business Location
- ☐ Residence
- ☐ Other: _____

Street: _____

City: _____ State: _____

Zip/Postal Code: _____ Phone Number: _____

Email Address: _____

Mailing Address (if different from above):

Street: _____

City: _____ State: _____

Zip/Postal Code: _____



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Please provide a list(s) naming the following principals, as applicable:

- Beneficial Owners

- Executive Officers

- Directors

- Authorized Signatories (for documents, contracts, etc.)

Please describe your principal business activities:

Please describe the purpose of your account relationship with Monarch Precious Metals:



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Bank References: (please provide a minimum of 1 banking reference)

Name of Bank: _____
Primary Contact (If applicable): _____ Telephone: _____
Address: _____

Name of Bank: _____
Primary Contact (If applicable): _____ Telephone: _____
Address: _____

Business References: (please provide up to 3 business references)

Name of Company: _____
Primary Contact: _____ Telephone: _____
Address: _____

Please describe the nature of your business relationship: _____

Name of Company: _____
Primary Contact: _____ Telephone: _____
Address: _____

Please describe the nature of your business relationship: _____

Name of Company: _____
Primary Contact: _____ Telephone: _____
Address: _____

Please describe the nature of your business relationship: _____



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Status of Your Anti-Money Laundering Program

As you are no doubt aware, the U.S. Department of the Treasury, through the Financial Crimes Enforcement Network (“FINCEN”), has issued Rule 31 CFR 103.140 (“the Rule”) requiring precious metals dealers in the U.S., as defined by the Rule, to establish an anti-money laundering (“AML”) program in compliance with the Bank Secrecy Act as amended by the USA Patriot Act. As a U.S. based dealer in precious metals, Monarch Precious Metals has established a risk-based AML program designed to detect and prevent money laundering, terrorist financing, or other illegal activities involving transactions in products covered by this Rule.

Please check the box below for the statement that best describes the current status of your anti-money laundering program:

- ☐ We are dealers in precious metals as defined in the Rule* and have established a documented anti-money laundering program designed to comply with this Rule.
- ☐ Though not currently a dealer in precious metals as defined in the Rule*, we have established a documented anti-money laundering program designed to detect and prevent money laundering, terrorist financing, or other illegal activities involving our precious metals activities.
- ☐ We do not currently have an anti-money laundering program in place.

Monarch Precious Metals will rely on the information contained herein to comply with its own anti-money laundering program. By signing below, you are certifying that the information you have provided herein is, to the best of your knowledge, accurate and complete.

Print Name: _____ Title: _____

Signature: _____ Date: _____

Telephone Number: _____

Fax Number: _____

E-Mail Address: _____